



PATENT
245001-2000.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gary KATZ and Greg MAYNARD
Serial No.: 10/771,993
Filed: February 3, 2004
For: AUTOMATED EXCHANGE FOR TRADING
COMPLEX ORDERS
Art Unit: 3622

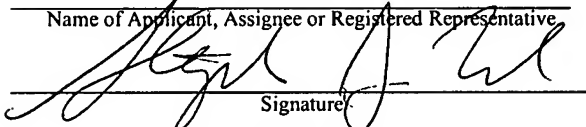
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FIRST CLASS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 2, 2007.

Stephen J. Lieb, Reg. No. 41,943

Name of Applicant, Assignee or Registered Representative



Signature

October 19, 2007

Date of Signature

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97 (b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO-1449 to bring to the examiner's attention patents and patent applications related to the above-captioned application. These applications and patents have been identified previously in the record for the

present application. Nonetheless, applicants bring to the examiner's attention the following U.S.

Patent Applications and U.S. Patents:

| <u>U.S. Patent Application No.</u> | <u>Filed</u> | <u>Issued as U.S. Patent No.</u> | <u>Issue Date</u> |
|------------------------------------|------------------|----------------------------------|-------------------|
| 09/433,613 | November 2, 1999 | 6,618,707 | September 9, 2003 |
| 10/418,908 | April 18, 2003 | 7,246,093 | July 17, 2007 |
| 11/789246 | April 24, 2007 | | |

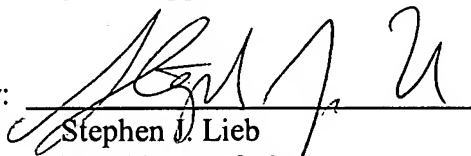
So far as applicants are aware, this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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